

Shareholding Pattern under Regulation 31 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

1.	Name of Listed Entity :	Uttam Sugar Mills Limited
2.	Scrip Code/Name of Scrip/Class of Security :	532729
3.	Share Holding Pattern Filed under :	Reg. 31(1)(a)/Reg. 31(1)(b)/Reg. 31(1)(c)
	a.	If under 31(1)(b) then indicate the report for Quarter ending
	b.	If under 31(1)(c) then indicate date of allotment/extinguishment
4.	Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-	

	Particulars	Yes*	No*
1	Whether the Listed Entity has issued any partly paid up shares?		No
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?		No
3	Whether the Listed Entity has any shares against which depository receipts are issued?		No
4	Whether the Listed Entity has any shares in locked-in?		No
5	Whether any shares held by promoters are pledge or otherwise encumbered?		No

* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

GENERATED ON :08/01/2025

NSDL : 31/12/2024

CDSL :31/12/2024



Uttam Sugar Mills Limited

Table I - Summary Statement holding of specified securities

Category	Category of shareholder	Nos. of shareholders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
								No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held(b)	No. (a)		As a % of total Shares held(b)
								Class eg: X	Class eg: Y	Total								
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+(VI)	(VIII) As a % of (A+B+C2)	(IX)			(X)	(XI) = (VII)+(X) As a % of (A+B+C2)	(XII)		(XIII)		(XIV)	
(A)	Promoter & Promoter Group	18	28370553	0	0	28370553	74.3890	28370553	0	28370553	74.3890	0	74.3890	0	0.0000	0	0.0000	28370553
(B)	Public	32432	9767567	0	0	9767567	25.6110	9767567	0	9767567	25.6110	0	25.6110	0	0.0000	NA	NA	9626149
(C)	Non Promoter - Non Public			0	0			0			0			0.0000	NA	NA		
(C1)	Shares Underlying DRs	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(C2)	Shares Held By Employee Trust	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
	Total	32450	38138120	0	0	38138120	100.0000	38138120	0	38138120	100.0000	0	100.0000	0	0.0000	0	0.0000	37996702

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Uttam Sugar Mills Limited

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

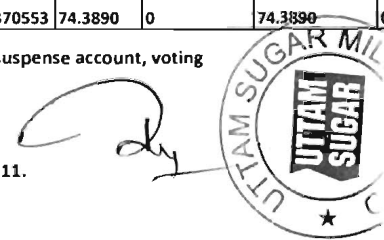
Category & Name of the shareholders	Entity Type	PAN	Nos. of share holders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise		Number of equity shares held in dematerialised form	
									No of Voting Rights					Total as a % of total Voting Rights	No. (a)	As a % of total Shares held(b)	No. (a)		As a % of total Shares held(b)
									Class eg: X	Class eg: y	Total								
									(IX)										
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+(VI)	(VIII) As a % of (A+B+C2)	(IX)			(X)	(XI) = (VII)+(X) As a % of (A+B+C2)	(XII)		(XIII)		(XIV)		
1	Indian																		
(a)	Individuals / Hindu Undivided Family		12	5277439	0	0	5277439	13.8377	5277439	0	5277439	13.8377	0	13.8377	0	0.0000	0	0.0000	5277439
	Raj Kumar Adlakha	Promoters	AJZPK7896C	1	2134610	0	0	2134610	5.5971	2134610	0	2134610	5.5971	0	0.0000	0	0.0000	2134610	
	Ranjan Adlakha	Promoters	AAEPA8800E	1	1492550	0	0	1492550	3.9135	1492550	0	1492550	3.9135	0	0.0000	0	0.0000	1492550	
	Shomna Adlakha	Promoter Group	AEMPA3857P	1	870410	0	0	870410	2.2823	870410	0	870410	2.2823	0	0.0000	0	0.0000	870410	
	Ranjana Chopra	Promoter Group	AAFPC2296R	1	375601	0	0	375601	0.9848	375601	0	375601	0.9848	0	0.0000	0	0.0000	375601	
	Rajni Babbar	Promoter Group	ACCPB1027G	1	139910	0	0	139910	0.3669	139910	0	139910	0.3669	0	0.0000	0	0.0000	139910	
	Sunayna Sunna Adlakha	Promoter Group	BQJPA0659P	1	114856	0	0	114856	0.3012	114856	0	114856	0.3012	0	0.0000	0	0.0000	114856	
	Rajan Adlakha	Promoters	ADEPA1936C	1	78088	0	0	78088	0.2048	78088	0	78088	0.2048	0	0.0000	0	0.0000	78088	
	Shanta	Promoter Group	AMEPS8581J	1	60000	0	0	60000	0.1573	60000	0	60000	0.1573	0	0.0000	0	0.0000	60000	
	Jai Adlakha	Promoter Group	AXUPA5278K	1	4200	0	0	4200	0.0110	4200	0	4200	0.0110	0	0.0000	0	0.0000	4200	
	Raj Kumar Adlakha Huf	Promoter Group	AAGHR4573R	1	4104	0	0	4104	0.0108	4104	0	4104	0.0108	0	0.0000	0	0.0000	4104	
	Balram Adlakha	Promoter Group	AWTPA3967F	1	3100	0	0	3100	0.0081	3100	0	3100	0.0081	0	0.0000	0	0.0000	3100	
	Sonia Adlakha	Promoter Group	ACBPA8837E	1	10	0	0	10	0.0000	10	0	10	0.0000	0	0.0000	0	0.0000	10	
	Bharat Adlakha	Promoter Group	AKPPA0317B	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
	Salesha Adlakha	Promoter Group	AKPPA4439G	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
(b)	Central Government / State Government(s)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(c)	Financial Institutions / Banks		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(d)	Any Other (Specify)		6	23093114	0	0	23093114	60.5513	23093114	0	23093114	60.5513	0	60.5513	0	0.0000	0	0.0000	23093114
	Bodies Corporate		6	23093114	0	0	23093114	60.5513	23093114	0	23093114	60.5513	0	60.5513	0	0.0000	0	0.0000	23093114
	Uttam Adlakha & Sons Holdings Private Limited	Promoter Group	AAACG0405E	1	16301224	0	0	16301224	42.7426	16301224	0	16301224	42.7426	0	0.0000	0	0.0000	16301224	
	Lipi Boilers Private Limited	Promoters	AAACL1650L	1	3515000	0	0	3515000	9.2165	3515000	0	3515000	9.2165	0	0.0000	0	0.0000	3515000	
	Uttam Industrial Engineering Private Limited	Promoters	AAACU1074B	1	2750000	0	0	2750000	7.2106	2750000	0	2750000	7.2106	0	0.0000	0	0.0000	2750000	
	New Castle Finance And Leasing Pvt Ltd	Promoter Group	AAACN0386K	1	380650	0	0	380650	0.9981	380650	0	380650	0.9981	0	0.0000	0	0.0000	380650	
	Shubham Sugars Limited	Promoter Group	AAICS3936L	1	145240	0	0	145240	0.3808	145240	0	145240	0.3808	0	0.0000	0	0.0000	145240	
	Uttam Sucrotech Limited	Promoters	AAACU4042K	1	1000	0	0	1000	0.0026	1000	0	1000	0.0026	0	0.0000	0	0.0000	1000	
	Sub Total (A)(1)		18	28370553	0	0	28370553	74.3890	28370553	0	28370553	74.3890	0	74.3890	0	0.0000	0	0.0000	28370553
2	Foreign																		
(a)	Individuals (Non-Resident Individuals / Foreign Individuals)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(b)	Government		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(c)	Institutions		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(d)	Foreign Portfolio Investor		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(e)	Any Other (Specify)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
	Sub Total (A)(2)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
	Total Shareholding Of Promoter And Promoter Group (A)=(A)(1)+(A)(2)		18	28370553	0	0	28370553	74.3890	28370553	0	28370553	74.3890	0	74.3890	0	0.0000	0	0.0000	28370553

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Not

(1) PAN would not be displayed on website of Stock Exchange(s)

(2) The term 'Encumbrance' has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.



4	Non-Institutions			0	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0				
(a)	Associate companies / Subsidiaries		0	0	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0				
(b)	Directors and their relatives (excluding Independent Directors and nominee Directors)		0	0	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0				
(c)	Key Managerial Personnel		0	0	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0				
(D)	Relatives of promoters (other than 'immediate relatives' of promoters disclosed under 'Promoter and Promoter Group' category)		0	0	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0				
(E)	Trusts where any person belonging to 'Promoter and Promoter Group' category is 'trustee', 'beneficiary', or 'author of the trust'		0	0	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0				
(f)	Investor Education and Protection Fund (IEPF)		0	0	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0				
(g)	i. Resident Individual holding nominal share capital up to Rs. 2 lakhs.		31232	5319388	0	0	5319388	13.9477	5319388	0	5319388	13.9477	0	13.9477	0	0.0000	NA	NA	5314720	0	0	0			
(h)	ii. Resident Individual holding nominal share capital in excess of Rs. 2 lakhs.		32	3401035	0	0	3401035	8.9177	3401035	0	3401035	8.9177	0	8.9177	0	0.0000	NA	NA	3264285	0	0	0			
	Anil Kumar Goel	AAJPG2552Q		1390000	0	0	1390000	3.6446	1390000	0	1390000	3.6446	0	3.6446	0	0.0000	NA	NA	1390000						
	Seema Goel	AHAPG8015A		700000	0	0	700000	1.8354	700000	0	700000	1.8354	0	1.8354	0	0.0000	NA	NA	700000						
(i)	Non Resident Indians (NRIs)		325	205118	0	0	205118	0.5378	205118	0	205118	0.5378	0	0.5378	0	0.0000	NA	NA	205118	0	0	0			
(j)	Foreign Nationals		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0						
(k)	Foreign Companies		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0						
(l)	Bodies Corporate		156	418684	0	0	418684	1.0978	418684	0	418684	1.0978	0	1.0978	0	0.0000	NA	NA	418684	0	0	0			
(m)	Any Other (Specify)		684	411295	0	0	411295	1.0784	411295	0	411295	1.0784	0	1.0784	0	0.0000	NA	NA	411295	0	0	0			
	Body Corp-Ltd Liability Partnership		16	22190	0	0	22190	0.0582	22190	0	22190	0.0582	0	0.0582	0	0.0000	NA	NA	22190	0	0	0			
	Hindu Undivided Family		664	388411	0	0	388411	1.0184	388411	0	388411	1.0184	0	1.0184	0	0.0000	NA	NA	388411	0	0	0			
	Clearing Member		4	694	0	0	694	0.0018	694	0	694	0.0018	0	0.0018	0	0.0000	NA	NA	694	0	0	0			
	Sub Total (B)(4)		32429	9755520	0	0	9755520	25.5794	9755520	0	9755520	25.5794	0	25.5794	0	0.0000	NA	NA	9614102	0	0	0			
	Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)+b(4)		32432	9767567	0	0	9767567	25.6110	9767567	0	9767567	25.6110	0	25.6110	0	0.0000	NA	NA	9626149	0	0	0			

Details of the shareholders acting as persons in Concert including their Shareholding (No. and %):

No. of shareholders	No. of Shares	%
0		

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

No. of shareholders	No. of
0	0

Not

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The above format needs to be disclosed along with the names of the shareholders holding 1% or more than 1% of shares of the listed entity. Column no.(XIII)is not applicable in the above format.

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.

(4) Categorization and disclosure of each shareholder category should be carried out in the order prescribed in the above format. If a shareholder is falling under more than one category, then the same shall be classified in

(5) Sub-categorization of shares under column no.(XV) will be based on shareholding(no. of shares)under the following sub-categories:

(i) Shareholder who are represented by a nominee Director on the board of the listed entity or have the right to nominate a representative(i.e. Director)on the board of the listed entity.

(ii) Shareholder who have entered into shareholder agreement with the listed entity.

(iii) Shareholders acting as persons in concert with promoters.

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Uttam Sugar Mills Limited

Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

Category & Name of the shareholders	PAN	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities	Shareholding, as a % assuming full conversion of convertible securities	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
								No of Voting Rights					Total as a % of (A+B+C)	No.	As a % of total Shares held(b)	No.		As a % of total Shares held(b)
								Class eg: X	Class eg: y	Total								
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+(VI)	(VIII) As a % of (A+B+C2)	(IX)			(X)	(XI) = (VII)+(X) As a % of (A+B+C2)	(XII)		(XIII)		(XIV)	
1 Custodian/DR Holder		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
2 Employee Benefit Trust / Employee Welfare Trust under SEBI (Share based Employee Benefits and Sweat Equity) Regulations, 2021		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
Total Non-Promoter- Non Public Shareholding (C)= (C){1}+(C){2}		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0

No

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The above format needs to be disclosed along with the names of the shareholders holding 1% or more than 1% of shares of the listed entity. Column no.(XIII)is not applicable in the above format.

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available.

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Uttam Sugar Mills Limited

Table V - Significant Beneficial Owners

Sr. No	Details of the significant beneficial owner (I)			Details of the registered owner (II)			Details of Holding/exercise of right of the SBO in the reporting Company, Whether Direct or Indirect* (III)					Date of creation / acquisition of significant beneficial interest (IV)
	Name	PAN	Nationality	Name	PAN	Nationality	Whether by Virtue of:					
							Shares	Voting Rights	Rights on Distributable Dividend or any other distribution	Exercise of Control	Exercise of Significat Influence	
1	RAJ KUMAR ADLAKHA	AJZPK7896C	Indian	RAJ KUMAR ADLAKHA	AJZPK7896C	INDIAN	5.59	5.59	5.59	No	No	17-11-2000
	RAJ KUMAR ADLAKHA	AJZPK7896C	Indian	LIPI BOILERS PRIVATE LIMITED	AAACL1650L	INDIAN	9.22	9.22	9.22	No	No	17-11-2000
	RAJ KUMAR ADLAKHA	AJZPK7896C	Indian	Raj Kumar Adlakha Huf	AAGHR4573R	INDIAN	0.01	0.01	0.01	No	No	17-11-2000
	RAJ KUMAR ADLAKHA	AJZPK7896C	Indian	UTTAM ADLAKHA & SONS HOLDINGS PRIVATE LIMITED	AAACG0405E	INDIAN	42.74	42.74	42.74	No	No	17-11-2000
	RAJ KUMAR ADLAKHA	AJZPK7896C	Indian	SHUBHAM SUGARS LTD.	AAICS3936L	INDIAN	0.38	0.38	0.38	No	No	17-11-2000
2	RANJAN ADLAKHA	AAEPA8800E	Indian	RANJAN ADLAKHA	AAEPA8800E	INDIAN	3.91	3.91	3.91	No	No	17-11-2000
	RANJAN ADLAKHA	AAEPA8800F	Indian	UTTAM INDUSTRIAL ENGINEERING PVT. LTD.	AAACU1074B	INDIAN	7.21	7.21	7.21	No	No	17-11-2000



Uttam Sugar Mills Limited

Table VI - Statement showing foreign ownership limits

Particular	Approved limits (%)	Limits utilized (%)
As on shareholding date	100.00	0.57
As on the end of previous 1st quarter	100.00	0.60
As on the end of previous 2nd quarter	100.00	0.50
As on the end of previous 3rd quarter	100.00	0.44
As on the end of previous 4th quarter	100.00	0.53

Notes :-

1) "Approved Limits (%)" means the limit approved by Board of Directors / shareholders of the Listed entity. In case the listed entity has no Board approved limit, provide details of sectoral / statutory cap prescribed by Government / Regulatory Authorities

2) Details of Foreign ownership includes foreign ownership / investments as specified in Rule 2(s) of the Foreign Exchange Management (Non-debt Instruments) Rules, 2019, made under the Foreign Exchange Management Act, 1999.

